

## FEES SCHEDULE

The below fees and levies are charged for CDSC services.

	<b>SERVICE</b>	<b>CHARGES IN KSHS</b>
1	<b>Transaction Levy</b>	0.08% of the Equity turnover
2	<b>Depository Levy</b>	Kshs 90 per transaction subject to a minimum of Kshs 50,000 & a maximum of Kshs 1M per annum.
3	<b>Bonds Transaction Levy</b>	0.002% of the bond turnover
4	<b>CDA fees</b>	<p><i>Appointment fee</i> Kshs 20,000 for Trading CDAs &amp; Kshs 75,000 for Non Trading CDAs</p> <p><i>Annual subscription fee</i> Kshs 2,500</p>
5	<b>Security Transfers</b>	<p>Kshs 200 per transfer for own accounts</p> <p>Kshs 1,000 per transfer for nominee accounts</p>
6	<b>Private Transfer</b>	<p>i) Settlement of an estate of deceased person</p> <ul style="list-style-type: none"> <li>• Less than Kshs 10,000: No charge</li> <li>• Greater than Kshs 10,000: 45% of Kshs 1,500</li> </ul> <p>ii) Transfer by gift</p> <ul style="list-style-type: none"> <li>• Less than Kshs 10,000: No charge</li> <li>• Less than Kshs 100,000: 45% of 2.1% of value of transaction</li> <li>• Greater than Kshs 100,000: 45% of up to a maximum of 1.5% of value of transaction</li> </ul> <p>iii) Transfer with no change of ownership</p> <ul style="list-style-type: none"> <li>• Greater than Kshs 10,000: 45% of Kshs 1,500</li> </ul>
7	<b>Interim Statement</b>	Kshs 50 for the first two pages and Kshs 50 per page for the subsequent pages
8	<b>Pledges &amp; Releases</b>	Kshs 1,000 per Form/Pledge number
9	<b>Withdrawal</b>	Kshs 500 per account
10	<b>Corporate Action postage fee</b>	Kshs 35 per account
11	<b>Guarantee Fund Administration</b>	1% of the Fund value

