



SECURITIES PLEDGE (CDS 5) FORM

CDA CODE

CDS ACCOUNT NUMBER

CLIENT DETAILS

Client/Company Name

ID/Passport/ Business Registration Number

Signature

(applicable to individual/joint accounts)

INSERT SIGNATURE

Date

CLIENT DETAILS (FOR JOINT ACCOUNT/CORPORATE ACCOUNT SIGNATORIES)

Client Name

ID/Passport Number

Signature

INSERT SIGNATURE

Date

CLIENT DETAILS (FOR CORPORATE ACCOUNT SIGNATORIES)

Client Name

ID/Passport Number

Signature

INSERT SIGNATURE

Date

Particulars of Security

| SECURITY NAME | SECURITY ID | QUANTITY |
|--------------------------|----------------------|----------------------|
| 1. <input type="text"/> | <input type="text"/> | <input type="text"/> |
| 2. <input type="text"/> | <input type="text"/> | <input type="text"/> |
| 3. <input type="text"/> | <input type="text"/> | <input type="text"/> |
| 4. <input type="text"/> | <input type="text"/> | <input type="text"/> |
| 5. <input type="text"/> | <input type="text"/> | <input type="text"/> |
| 6. <input type="text"/> | <input type="text"/> | <input type="text"/> |
| 7. <input type="text"/> | <input type="text"/> | <input type="text"/> |
| 8. <input type="text"/> | <input type="text"/> | <input type="text"/> |
| 9. <input type="text"/> | <input type="text"/> | <input type="text"/> |
| 10. <input type="text"/> | <input type="text"/> | <input type="text"/> |

CLIENT DECLARATION

- I/We hereby request the pledge of the above mentioned securities deposited in my/our securities account in favour of the pledgee named below:
- I/We acknowledge that I/we have freely provided the personal data in this form and I/we consent to CDSC's processing of my/our personal data.
- I/We are the genuine holder(s)/signatories of the above mentioned securities account.



CLIENT DECLARATION (continued)

- I/We certify that the information I/we have provided on this form and the documents I/we have attached are true, accurate and complete, and authorize CDSC to make any inquiries necessary in connection with the information I/we have provided in this form.
- I/We accept and agree to be bound by the Terms and Conditions provided on the CDSC website as amended from time to time.
- I/We understand that any false or misleading information limits your ability to promote my/our right to privacy and when intentional, is a punishable criminal offence under the Laws of Kenya.
- I/We certify that I/we have carefully read the Privacy Notice on the CDSC website. I/we understand why you collect my/our personal information and how you safeguard my/our privacy.
- I/We indemnify CDSC against any claims arising out of the provision of any false, misleading or inaccurate information or for any costs or loss arising out of my/our conduct of the account.

Signed by Pledgor:

Name

Signature

INSERT SIGNATURE

Date

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

Name

Signature

INSERT SIGNATURE

Date

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

PLEDGOR CDA DECLARATION

I hereby certify that I have verified the above information and that:

- This form has been signed in my presence.
- To the best of my knowledge and information, the names of the securities account holder as it appears on the CDS and on the pledge form presented before me refer to one and the same person/entity.
- The person signing the pledge Form has the proper authority to do so and I have examined the necessary documentary evidence.
- We indemnify CDSC against any claims arising out of the failure to verify any information provided by the account holder.

Verified by:

Designation:

Date:

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

Signature:

INSERT SIGNATURE

CDA STAMP/SEAL

PLEDGEE CDA USE ONLY

We hereby request the above referenced securities to be recorded as pledged in our favor.

Name:

Name of Pledgee Signatory:

Pledgee Address:

Date:

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

Signature of Pledgee
Authorized Signatory:

INSERT SIGNATURE

STAMP/SEAL