



CDS ACCOUNT OPENING (CDS 1) FORM

MINOR ACCOUNT OPENING FORM

CDA CODE

CDS ACCOUNT NUMBER (NEW/EXISTING)

MINOR's DETAILS

PLEASE FILL DETAILS IN BLOCK

Gender Male ☐ Female ☐

Surname* Other Names*

Investor Category* (Tick as applicable) Local Investor (LI) ☐ Foreign Investor (FI) ☐ East African Investor (EI) ☐

Passport/Birth Certificate No* Passport Expiry Date

Date of Birth* Nationality/Citizenship*

Postal Address Postal Code City/Town

Physical Location (Town/City) Country of Residence*

Telephone Number* Country Code

Email Address*

INSERT COLOR
PASSPORT PHOTO

SIGNATORY DETAILS (PARENT/GUARDIAN)

PLEASE FILL DETAILS IN BLOCK

Surname* Other Names*

ID/Passport Number* Passport Expiry Date

ID Type* (Tick as applicable) National ID ☐ East African ID ☐ Passport ☐ Alien ID ☐

Relationship with the minor* Parent ☐ Guardian ☐

Date of Birth* Nationality/Citizenship*

KRA PIN* Country of Residence*

Postal Address Postal Code City/Town

Telephone Number* Country Code

Email Address* Physical Location (Town/City)

Source of Investment Funds*

(if employment, provide name of employer)

Name of Employer Employer Postal Address

Employer Telephone Number Employer Email Address

(If business, provide name of business)

Registration/Incorporation Certificate Number

Postal Address Telephone Number

Email Address Registered Office

INSERT COLOR
PASSPORT PHOTO

ARE YOU OR ANY OTHER PERSON CONNECTED WITH THE APPLICATION CLASSIFIED AS A POLITICALLY EXPOSED PERSON (P.E.P) OR CONNECTED TO A P.E.P?

YES ☐ NO ☐

If yes, specify the name of the person and the relationship.



TAX STATUS

Tax Exempt* Yes ☐ No ☐ (If Yes, please attach a copy of your tax exemption certificate)

PAYMENT DETAILS (DIVIDEND DISPOSAL AND PROCEEDS OF SALE)

(Tick where applicable) Domestic Bank ☐ International Bank ☐ Mobile Money Payment ☐

BANK DETAILS

Account Name
Account Number Bank Name
Branch Code (Domestic Banks) Bank Swift Code (International Banks)
Currency (International Banks) EURO ☐ USD ☐ GBP ☐ KES ☐ USH ☐ TZSH ☐ RFRANC ☐
Indicate any other currency

MOBILE MONEY PAYMENT DETAILS (Applicable only to Local Investors)

Phone Number

PARENTAL/GUARDIAN CONSENT

For your child to open/maintain a securities account with us, we will need to process their personal data collected in this form. CDSC is fully compliant with the data protection laws in Kenya and prioritises your child's best interests as we process their data.

Please refer to the Privacy Notice in the CDSC Website for more information on how we handle your child's personal data.

We do require your consent to collect and process your child's data.

I give my consent for my child's (Name)
personal data collected in this form to be processed by CDSC and the approved third parties for the purpose of opening/maintaining a securities account with CDSC.

I confirm that I am above 18 years of age and have given this consent freely and on due authority.

Name (Parent/Legal Guardian)

Signature

INSERT SIGNATURE

Date

CLIENT DECLARATION

1. I/We certify that the information I/we have provided on this form and the documents I/we have attached are true, accurate and complete.
2. I/We declare that I am/we are the owner(s) of this CDS account.
3. I/We understand that any false or misleading information limits your ability to promote my/our right to privacy and when intentional, is a punishable criminal offence under the Laws of Kenya.
4. I/We certify that I/we have carefully read the Terms & Conditions and Privacy Notice provided in the CDSC website and I/we understand why you collect my/our personal information and how you safeguard my/our privacy.
5. I/We authorize CDSC to use the information collected in this form to open and maintain our securities account and for other related purposes.
6. I/We will notify CDSC or my/our CDA of any change of my/our information presented in this form and the documents I/we have attached.



CLIENT DECLARATION (continued)

6. I/We will notify CDSC or my/our CDA of any change of my/our information presented in this form and the documents I/we have attached.
7. I/We confirm that the funds used in the investment in securities are not arising out of proceeds of crime, money laundering and/or any illegal activities.
8. I/We indemnify CDSC against any claims arising out of the provision of any false or misleading information or for any costs or loss arising out of my/our conduct of the account.

Parent/Guardian Name

Signature

Date

D	D	M	M	Y	Y	Y	Y
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CDA SECTION

CDA DECLARATION

I hereby certify that I have verified the above information and that:

1. This form has been signed in my presence.
2. To the best of my knowledge and belief, the name of the securities account holder as provided in the account opening form and the accompanying supporting documents refers to one and the same person/entity.
3. The person signing the account opening form has the proper authority to do so and I have examined the necessary documentary evidence.
4. We indemnify CDSC against any claims arising out of the failure to verify any information provided by the account holder.

Witnessed and verified by

Designation

Signature

Date

D	D	M	M	Y	Y	Y	Y
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Authorized by

Designation

Signature

Date

D	D	M	M	Y	Y	Y	Y
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CDA Stamp

Attachment checklist (certified copies):

- | | |
|---|---|
| <input type="checkbox"/> ID/Passport of guardian/parent | <input type="checkbox"/> Certified copy of KRA PIN certificate of guardian/parent |
| <input type="checkbox"/> 1 recently taken passport photos for minor and parent/guardian | <input type="checkbox"/> Certified copy of Tax exemption certificate (where applicable) |
| <input type="checkbox"/> Minor birth certificate or passport | <input type="checkbox"/> If not parent, produce Guardianship orders |